

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

This application of:

Richard A. Dixon, et al

Serial No.: 09/936,190

35 U.S.C. 371 Date: November 9, 2001

International
Application No.: PCT/US00/05915International
Filing Date: March 8, 2000

Group Art Unit: 1638

For: **GENETIC MANIPULATION OF ISOFLAVONOIDS**

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Express Mail No. EL 794570484 US Date of Deposit 22 February 2002. I hereby
certify that this correspondence is being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to the Assistant Commissioner for Patents, Office of
Initial Patent Examination's Customer Service Center, Washington, D.C. 20231.

Derrick T. Gordon
Name of Depositor

Signature

Date of Signature: 22 February 2002

Dear Sir:

**REQUEST FOR CORRECTION OF ERROR ON FILING RECEIPT
AND NOTICE OF ACCEPTANCE OF APPLICATION UNDER
35 U.S.C. §371 AND 37 C.F.R. § 1.494 OR §1.495**

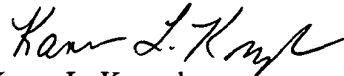
An error was noted on the Notice of Acceptance of Application Under 35 U.S.C. §371 and 37 C.F.R. §1.494 or 1.495 with regard to the Date of Receipt of 35 U.S.C. §371(c)(1), (c)(2) and (c)(4) Requirements and the date of receipt of all 35 U.S.C. Requirements. A response to the Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated/Elected Office was filed by Express Mail on November 9, 2001 along with the following: (1) Declaration and Power of Attorney of Inventors Richard A. Dixon and Christopher L. Steele and (2) a copy of the Notification of Missing Requirements under 35 U.S.C. 371. Enclosed is a copy of our Response to the Notification along with a copy of the Express Mail receipt which indicates handwritten and stamped mailing dates of November 9, 2001. Accordingly, Applicants respectfully request the correction of the Date of Receipt of 35 U.S.C. §371(c)(1),

RECEIVED
MAR 11 2002
TECH CENTER 1600/2900

(c)(2) and (c)(4) Requirements and the Date of Receipt of All 35 U.S.C. Requirements by changing the date from "12/09/2001" to "11/09/2001". Applicants also noted that the International Application No. was incorrectly recorded as "PCT/US00/05912" and request this be corrected by changing "PCT/US00/05912" to "PCT/US00/05915." A copy of the Notice indicating the changes in red ink is attached.

In addition, Applicants also request that the Filing Receipt be corrected by changing the filing date from "12/09/2001" to "11/09/2001" and by changing the section entitled "Domestic Priority data as claimed by applicant" to recite the correct reference "PCT/US00/05915"" rather than "PCT/US00/05912". A copy of the filing receipt indicating in red ink the requested correction is attached.

Respectfully submitted,



Karen L. Knezek
Registration No. 39,253

KLK/bg/ld

February 22, 2002

SIDLEY AUSTIN BROWN & WOOD, LLP

717 N. Harwood, Suite 3400

Dallas, Texas 75201-6507

(214) 981-3300



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PM
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,190	Richard A. Dixon	11137/05006

INTERNATIONAL APPLICATION NO.

~~PCT/US00/05912~~ PCT/US00/5915

I.A. FILING DATE	PRIORITY DATE
03/08/2000	03/08/1999

Eugenia S Hansen
 Sidley Austin Brown & Wood
 Suite 3400
 717 North Harwood
 Dallas, TX 75201

RECEIVED-DOCKETING

FEB 12 2002

SIDLEY AUSTIN BROWN & WOOD

CONFIRMATION NO. 2623

371 ACCEPTANCE LETTER



OC000000007371293

Date Mailed: 02/04/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

11/09/2001
12/09/2001

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
 (c)(4) REQUIREMENTS

11/09/2001
12/09/2001

DATE OF RECEIPT OF ALL 35 U.S.C.
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

RECEIVED
 2/6

- Preliminary Amendments
- Small Entity Statement

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/936,190	12/09/2001 11/09/2001	1638	2088	11137/05006	15	69	21

CONFIRMATION NO. 2623

Eugenia S Hansen
Sidley Austin Brown & Wood
Suite 3400
717 North Harwood
Dallas, TX 75201

RECEIVED DOCKETING

FEB 12 2002

SIDLEY AUSTIN BROWN & WOOD

FILING RECEIPT



OC000000007371292

Date Mailed: 02/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard A. Dixon, Ardmore Carter County, OK;
Christopher L. Steele, Manlius Onondaga County, NY;

Domestic Priority data as claimed by applicant PCT/US 00/05915

THIS APPLICATION IS A 371 OF PCT/US 00/05912 03/08/2000
WHICH CLAIMS BENEFIT OF 60/123,267 03/08/1999

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Genetic manipulation of isoflavonoids

Preliminary Class

PPLAP
jis

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).